

ERRIN analysis of the European Commission regulation proposal establishing Horizon Europe and its rules for participation and dissemination

June 2018

Horizon Europe – Rules for Participation and Dissemination			
Topic	Article	Analysis/justification	Text changes
Definitions	Article 2 - Definitions	Regional innovation ecosystems can significantly contribute to meeting the objectives of the framework programme, especially through projects mobilising such ecosystems and/or innovation hubs from several Member States.	Add after Article 2 (7) “Regional innovation ecosystems and innovation hubs” means public and private quadruple helix actors (academia, industry, government, and civil society) organised at regional or local level. These actors coordinate research, innovation, and education activities, and accelerate between them the dissemination of results, knowledge transfers, innovation and development of new economic activities and services creating sustainable jobs, while being close to the citizens and their local needs, which brings research and innovation close to society.”
Programme objectives	Article 3 – The programme’s general objective is to deliver scientific, economic and societal impact from the Union’s investments in research and innovation so as to strengthen the	To maximise impact and the European innovation potential strong placed based, regional innovation ecosystems are needed. With the development of bottom-up Smart Specialisation Strategies, Regions and cities	Add in Article 3 (1) Addition in bold. “...and foster its competitiveness in all member states and regions , including its industry, in particular

	<p>scientific and technological bases of the Union and foster its competitiveness, including its industry, deliver on the Union strategic priorities, and contribute to tackling global challenges, including the Sustainable Development Goals. 2. The Programme has the following specific objectives: (a) to support the creation and diffusion of high-quality new knowledge, skills, technologies and solutions to global challenges; (b) to strengthen the impact of research and innovation in developing, supporting and implementing Union policies, and support the uptake of innovative solutions in industry and society to address global challenges; (c) to foster all forms of innovation, including breakthrough innovation, and strengthen market deployment of innovative solutions; (d) to optimise the Programme’s delivery for increased impacts within a strengthened European Research Area.</p>	<p>represent a suitable level for bringing together the quadruple helix, including bringing science and innovation close to citizens and their local needs.</p>	<p>through building a society based on knowledge and innovation, deliver on Union strategic priorities...”</p>
Synergies	<p>Preamble (15) The Programme should seek synergies with other Union programmes, from their design and strategic planning, to</p>	<p>ERRIN welcomes the effort to build synergies between funding programmes, especially since more synergies can bridge the R&I&D capacity building funded by ESIF</p>	<p>Add in Preamble (15) Addition in bold.</p>

	<p>project selection, management, communication, dissemination and exploitation of results, to monitoring, auditing and governance. With a view to avoiding overlaps and duplication and increasing the leverage of Union funding, transfers from other Union programmes to Horizon Europe activities can take place. In such cases they will follow Horizon Europe rules.</p> <p>Article 11 - Complementary and combined funding. Actions awarded a Seal of Excellence certification, or which comply with the following cumulative, comparative, conditions: (a) they have been assessed in a call for proposals under the Programme; (b) they comply with the minimum quality requirements of that call for proposals; (c) they may not be financed under that call for proposals due to budgetary constraints, may receive support from the European Regional Development Fund, the Cohesion Fund, the European Social Fund+ or the European Agricultural Fund for Rural Development, in accordance with paragraph 5 of Article [67] of Regulation (EU) XX [Common Provisions</p>	<p>and the excellent science/innovation/development funded by the framework programme.</p> <p>The rules for different funding programmes should be simplified and harmonised so as to create possibilities for synergies between programmes.</p> <p>In the Cohesion policy proposal, the provision enabling Members States and regions to transfer up to 5% of their Cohesion Funds to other EU programmes such as Horizon Europe is a positive step forward. How the 5% is going to be used and through what mechanism is an important future discussion to secure links and synergies between the two funds.</p> <p>ERRIN further welcomes the Commission proposal for a targeted amendment of the Enabling Regulation (COM(2018) 398/2). It will enable the Commission to make targeted modifications of current State aid rules so that national money – including from the European Structural and Investment Fund managed at national level – and EU funds managed centrally by the Commission can be combined as seamlessly</p>	<p>“from their design and strategic planning, taking into account strategic priorities of other programmes, national R&I agendas, and Smart Specialisation Strategies, to project selection, management...”</p>
--	--	--	--

	Regulation] and Article [8] or Regulation (EU) XX [Financing, management and monitoring of the Common Agricultural Policy], provided that such actions are consistent with the objectives of the programme concerned. The rules of the Fund providing support shall apply.	as possible, without distorting competition in the EU's Single market.	
Partnerships	Article 8 – European Partnerships	The simplification of the European Partnerships is a much welcome development and we see the co-programming and co-funding mechanisms as an opportunity to connect regional innovation ecosystems and leverage the use of Smart Specialisation Strategies.	
Procurement	Article 22 - Pre-commercial procurement and procurement of innovative solutions	ERRIN would like to see further use of public (and private) procurement with an enhanced Public Procurement Innovation Platform for capacity building and support. ERRIN would also welcome simplified and harmonised rules regarding public and private procurement.	
Missions	Article 7 – Missions 1. Missions shall be programmed within the pillar 'Global Challenges and Industrial Competitiveness' but may also benefit from actions carried out within other parts of the Programme. 2. The missions shall be implemented in accordance with Article 5 of the Specific Programme. Evaluation shall be carried	Missions should have a strong alignment with Smart Specialisation Strategies, as this is also an opportunity to strengthen public engagement through the involvement of regional innovation ecosystems. Mission objectives should at least be partly crowdsourced.	Add an Article 7.4. “The design of the missions shall be carried out through a participatory process, involving all levels of government from local to the EU as well as other ecosystem stakeholders.”

	<p>out in accordance with Article 26. 3. Missions shall: (a) have a clear EU-added value and contribute to reaching Union priorities; (b) be bold and inspirational, and hence have wide societal or economic relevance; (c) indicate a clear direction and be targeted, measurable and time-bound; (d) be centred on ambitious but realistic research and innovation activities; (e) spark activity across disciplines, sectors and actors; (f) be open to multiple, bottom-up solutions.</p>	<p>As there has been no pilot for missions, ERRIN agrees that it is important to keep future missions flexible. Solutions proposed to missions should be bottom-up and support the linking of regional innovation ecosystems from various parts of Europe and beyond. ERRIN would also like to emphasise the need to take stock of lessons learnt from “mission-like” initiatives within the framework programme such as EIPs and JTIs.</p>	
<p>Common set of rules across Horizon Europe</p>	<p>Preamble (38) Common rules across the Programme should ensure a coherent framework which facilitates participation in programmes financially supported by the budget of the Programme, including participation in programmes managed by funding bodies such as the EIT, joint undertakings or any other structures under Article 187 TFEU, and participation in programmes undertaken by Member States pursuant to Article 185 TFEU. Flexibility to adopt specific rules should be ensured when justified.</p>	<p>Having a common set of rules across the Horizon Europe programme with common reporting tools and templates and common requirements will ensure further simplification of the programme and lower the administrative burden for beneficiaries following the implementation of different rules for different EU programmes.</p>	

ERRIN analysis of the European Commission regulation proposal on establishing the specific programme implementing Horizon Europe

June 2018

Horizon Europe Specific Programme			
Topic	Article	Analysis/justification	Text changes
Missions	<p>Article 5</p> <p>“For each mission, a mission board may be established.”</p> <p>(a) content of work programmes and their revision as needed for achieving the mission objectives, in co-design with stakeholders and the public where relevant;</p>	ERRIN suggests that mission objectives are at least partly crowd-sourced.	(a) content of work programmes and their revision as needed for achieving the mission objectives, in co-design with stakeholders and the public where relevant

Annexes to the proposal on establishing the HE specific programme			
Pillar III: Open Innovation			
Topic	Article	Analysis/justification	Text changes
Introduction	Introduction, p. 59		<p>Addition to current text in bold.</p> <p>“Policy to develop and deploy breakthrough innovations and scale-up companies has to be bold in taking risks and must take into account the above-mentioned challenges and add value to</p>

			related innovation activities implemented by individual Member States, both at a national and local level including cities and regions. "
	Introduction, p. 60		Addition to current text in bold. "Renewed and reinforced coordination and cooperation mechanisms with Member States, including national, regional and local level , and Associated Countries, but also with private initiatives..."
European Innovation Council	1.1 Areas of intervention		Addition to current text in bold. Final paragraph "Particular attention will be paid to ensuring proper and efficient complementarity with individual or networked Member States initiatives, including in the form of European Partnerships, as well as a structured dialogue with key national and local stakeholders in order to increase the European added-value and aim for the best possible continuum of project support. "
European Innovation Ecosystems	2.2 Areas of intervention EIC Forum of Member States and Associated Countries	In order to meet the objective of "encouraging cooperation, networking, and exchange of ideas, funding and	Additions to current text in bold. First paragraph

		skills among national and local innovation systems” both national and regional public authorities should be invited to the EIC Forum of Member States and Associated Countries. This is especially important to link up Smart Specialisation Strategies to the wider EU R&I agenda, and create regional/territorial partnership across MS.	<p>“As a first step the Commission will organise an EIC Forum of Member States and Associated countries’ public authorities and bodies in charge of national and regional innovation policies and programmes...”</p> <p>Third bullet point “Enhance coordination between national and regional innovation programmes and the EIC, so as to stimulate operational synergies and avoid overlap...”</p>
	Promotion and co-fund of joint innovation programmes	<p>ERRIN are positive to the inclusion of joint public procurement initiatives as the potential of public procurement and its role in bringing new solutions to the market remains largely untapped.</p> <p>We also welcome that these joint co-fund innovation programme can be managed by authorities in charge of regional and local innovation policies and programmes.</p>	
European Institute of Innovation and Technology (EIT)	3.2.1. Sustainable innovation ecosystems across Europe	Incorporating the EIT into the Open Innovation pillar is a step in the right direction to further connect regional innovation ecosystems at an EU level to	<p>Addition to current text in bold.</p> <p>3.2.4 Synergies and added value within Horizon Europe</p>

	<p>3.2.4 Synergies and value added within Horizon Europe</p>	<p>enable synergies with existing initiatives, and bridge existing gaps in innovation performance across the EU.</p>	<p>“Engage with new EU Member States at both national and regional level, establishing a structured dialogue and coordinating efforts to enable synergies with existing national and regional initiatives, in order to identify, share and disseminate good practices and learnings;”</p>
<p>Strengthening the European Research Area</p>			
	<p>2. Reforming and enhancing the EU research and innovation system</p>		<p>Addition to current text in bold.</p> <p>First paragraph “Policy reforms at national and regional level will be mutually reinforced through the development of EU-level policy initiatives, research, networking, partnering, coordination, data collection and monitoring and evaluation.”</p> <p>Add after first bullet point “Provide tailored support, structuring and strengthening of regional innovation ecosystems and innovation hubs, paying specific attention to their distinctive geographies and contexts.”</p>